ADVICE-ONLY™ SAMPLE EVIDENCE PACKET

Case Chronology

AO-SAMPLE-026 | Reconstructing the sequence from dated records.

FICTIONAL TRAINING MATERIAL. All people, firms, dates, balances, and events are invented. This is not an official assessment, legal advice, or a guarantee of any finding.

Chronology

Date	Event	Records	Reviewer note
Apr 6	Inquiry and initial contact	E-01	Review complete email thread and metadata.
Apr 8	Initial-fit call	E-02	Advisor note only; no recording.
Apr 10	Agreement signed	E-03	Compare signature timestamps with any substantive advice.
Apr 13–20	Fact gathering	E-06, E-14	Check completeness and when missing facts were resolved.
Apr 21–May 8	Analysis and scenario development	E-07	Inspect version history and recommendation timing.
May 10	Scope checkpoint	E-11	Boundary communication before delivery.
May 14–19	Tax-provider discussion	E-12, E-09	Assess neutrality, compensation, and client choice.
May 20	Final plan delivered; completion stated	E-08, E-10	Compare deliverable to agreed scope.
May 21	Clarification answered	E-09	Was it included follow-up or a new service?
May 22	Client payment received	E-04, E-05	Payment after delivery; fee obligation agreed beforehand.
May 25	Firm attestation	E-13	Insider attestation; not independent verification.
May 28	Client confirmation	E-16	Retrospective corroboration.

Chronology checks

- Check whether substantive advice occurred before the signed agreement, even if the main plan came later.
- Compare the agreement's scope and follow-up terms with what was actually delivered and communicated.
- Investigate gaps in archived texts or phone calls if they could affect referrals, pressure, or implementation questions.
- Distinguish the date an event occurred from the date a note about it was created.